# EXPLORING THE ROLE OF AI IN URBAN DESIGN RESEARCH: A COMPARATIVE ANALYSIS OF TRADITIONAL AND MACHINE LEARNING APPROACHES

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## Abstract:

The authors conducted an experiment to explore how AI can be utilized as a tool in urban studies research. The current study aims to compare two methodologies to identify urban indicators of the residents' wellbeing focusing on three transects across two local watersheds in Jacksonville.

The study is framed within the theory of transect analysis, an approach to the understanding of the landscape stemming from Patrick Geddes and his Valley Section. The method's remarkable benefit is the ability to read the landscape connecting its topography to the people who inhabit it, identifying reciprocal impacts. The goal of the authors' experiment was to compare an analogical transect analysis method to an AI one to understand (1) what kind of contribution the latter approach can provide to the development of transect analysis methodologies, and (2) if and how it can connect digitally generated site analysis to traditional knowledge (long-time knowledge of a specific community).

The first transect analysis method utilized participatory design and data analysis. The authors, in collaboration with local organizations, students, and residents of the area, conducted participatory walks along the three transects to explore how urban wellbeing indicators changed in terms of extent, timing, and manner from the vicinity of the creek to its periphery. This exploration integrated traditional knowledge into the transects' mapping process, which included aspects such as property ownership, building age and types, outdoor temperatures, urban morphology, socio-demographic data, and land uses. The mapping itself was divided into five parts, consisting of a central area encompassing the creeks and their banks, along with two sections on each side (Figure 1).

The second set of transects adopted an AI methodology that involves data collection using low altitude aerial drone images across the transects (Figure 2), followed by data processing and representation. An unsupervised feature extraction process is implemented using a trained VGG-16 network to extract numerical features from the images. The extracted features are then processed using t-Distributed Stochastic Neighbor Embedding (t-SNE) for dimensionality reduction. A Self Organizing Map (SOM) clustering algorithm is applied to create a similarity landscape, organizing the drone images based on patterns and similarities. Which then were classified into 6 urban indexes describing the 3 annualized transects (Figure 3).

The experiment's findings highlight the ability of the ML algorithms to find noticeable patterns of built environment and urban wellbeing indicators from aerial imagery. However, the most notable and unexpected insight is the identification of pre, during, and post-gentrification stages within the transects. This finding unveils the discrepancy between perceived urban wellbeing indicators such as green urban features and ML-generated patterns where the presence of green urban features were indicators of a gentrification in process. Nonetheless, the interpretation of the ML patterns was heavily funded in direct observations of the context and residents' feedback drawn from the traditional method. The combination of the two approaches emphasizes the complementary nature of them and shows how ML methods can be a tool at the service of communities.

## INTRODUCTION

Artificial Intelligence (AI) has been entering the domain of design disciplines since the early 2000s. With a slow but steady methodological development, this approach has become a central discourse for the future of the practice especially when the technology enabled the use of Machine Learning (ML), the process of teaching a computer to learn patterns from data to make inferences imitating the human brain. ML allows scholars to model, conduct research, or design virtually infinite numbers of building concepts learning from big data. By using ML, researchers and practitioners can develop new applications for urban design and urban studies challenging the very notion of creativity and inspiration. Through the opportunity to apply the ML approach to urban research in Jacksonville (Florida), the authors aimed to explore how ML can alter and enhance traditional and analytical approaches of place-based research.

The authors' work encompasses Jacksonville's McCoy and Hogan creeks, areas characterized by flooding, lack of tree canopy, poor housing conditions, and creek pollution disproportionately impacting economically disadvantaged populations.<sup>2</sup> In a recent published study, the authors found correlations between housing (cost of housing, rental status, building older than 1978 and housing crowdedness), air and water pollution, heat days, lack of tree canopy, and certain health conditions.<sup>3</sup> These results were conducted initially through a Statewide Socio-Ecological Model and in a second phase through an analogical transects analysis of the area.<sup>4</sup> Although the results highlighted a connection between the built environment and the general well-being of urban residents, it was essential to further understand if such findings could have been transferable in other urban contexts. Specifically, the authors posed the following questions:

- How can place-based research be scaled-up by integrating ML approaches?
- How can environmental physical features serving as indicators of well-being be classified at the urban/water intersection?

To address these questions, the authors conducted an experiment comparing the analogical transect analysis with an ML-based approach. The objectives were to unfold (1) what kind of contribution the latter approach could provide to the development of transect analysis methodologies and (2) whether and how it could integrate digitally generated site analysis to local knowledge.

<sup>&</sup>lt;sup>1</sup> Leach, Architecture in the Age of Artificial Intelligence; Chaillou, Artificial Intelligence and Architecture.

<sup>&</sup>lt;sup>2</sup> The XXX [de-identification for anonymity] program worked in Jacksonville from August 2021 until Spring 2023 through the generous support of the Jessie Ball duPont Foundation.

<sup>&</sup>lt;sup>3</sup> Carla Brisotto et al., "Learning at the Regional Level and Understanding at the Local Scale: Transect System as Research Mixed Method for Resilient Design."

<sup>&</sup>lt;sup>4</sup> Ibid.

This experiment is framed within the theory of transect analysis, an approach to understand the landscape stemming from Patrick Geddes and his Valley Section. The authors identified three transects of urban landscape across the creeks' watershed boundaries. The advantage to using this method is to be able to record and describe different layers of variations due to vernacular urban interventions, urban morphologies, urban policy impacts, ecosystem changes and socio-demographic factors. In addition, the authors included local knowledge into the transect analysis to understand the urban environment through the lens of the residents (local knowledge of a specific community).

This paper is organized into four sections. First, the authors review the literature on urban design research using ML to identify urban patterns via image feature extraction and unsupervised clustering for classification. Second, a methodological section will describe both the transect analogic method and the transect ML method. A third section discusses the findings touching both the realm of theory and methodology. Lastly, in the fourth section the authors draw conclusions and identify future research directions. Because of the nature of the findings, this paper adopts a double narrative approach moving from theoretical investigation to highly technical language which will be recognizable by the use of *Italic* font style. This approach will support the novice learner of Al by highlighting those methodological sections that would require some further study.

## LITERATURE REVIEW

The field of urban studies has been transformed by incorporating AI and ML, enabling new methodologies to detect, analyze, and understand urban phenomena through novel insights from large, diverse datasets. These technologies extract useful information not possible with traditional approaches across various urban indicators like buildings, morphology, and socio-demographics to examine their impact on wellbeing.<sup>6</sup> AI and more specifically ML provide invaluable practical applications for urban planning, disaster response, and infrastructure management by discerning invisible patterns and transforming how cities are planned, monitored, and managed (Table 1).

<sup>&</sup>lt;sup>5</sup> Geddes, Cities in Evolution.

<sup>&</sup>lt;sup>6</sup> Carta, Machine Learning, Artificial Intelligence and Urban Assemblages.

IDENTIFIED RESEARCH AREA	URBAN APPLICATION	AI/ML TECHNIQUES USED	SIGNOFICANCE
Spatial Data- Driven Analysis of Urban Form and Infrastructure	Land Use/Cover Analysis (LCLU)	- Classification	- Automates and enhances image analysis using CNNs Facilitates accurate land use mapping and predictive modeling Minimizes manual intervention in image interpretation Manages complex data relationships with high accuracy Speeds up model training and supports consistent monitoring Directly informs urban planning and policy-making.
	Accurate Urban Buildings Extraction	- Object Localization - Semantic Segmentation	<ul> <li>Automated large-scale urban mapping with frequent monitoring capabilities.</li> <li>Supports infrastructure mapping, population estimation, and land use tracking.</li> <li>Incorporates polarimetric and spatial-contextual data.</li> </ul>
	Roads and Centerlines Extraction	- Object Localization - Semantic Segmentation	<ul> <li>Enabling automated mapping and monitoring of urban road networks.</li> <li>Reduces costly manual digitization and surveys.</li> <li>Handles incomplete and noisy real-world data.</li> <li>Supports analyses for planning and decisions.</li> </ul>
	Neural Network- based Classification Models	- Classification	<ul> <li>Streamlines feature extraction and classification in urban imagery using CNNs.</li> <li>Minimizes manual labeling through automation.</li> <li>Manages and analyzes extensive visual data for urban planning and monitoring.</li> </ul>
Urban Resilience & Disaster Management	Identification of Collapsed Buildings and Deteriorated Infrastructure	- Object Localization	<ul> <li>Evaluates disaster damage using aerial imagery.</li> <li>Improves response efficiency with crowd-sourcing and CNNs.</li> <li>Guides urban resilience planning.</li> <li>Reduces manual inspection risks.</li> </ul>
	Enhancement Digital Elevation Model	- Semantic Segmentation	<ul> <li>Enhances DEM accuracy using CNNs and publicly available data.</li> <li>Enables 3D mapping and visualization.</li> <li>Aids regions without LiDAR by managing incomplete elevation data.</li> </ul>
	Object Saliency Detection	- Object Localization - Semantic Segmentation	<ul> <li>Facilitates land use mapping and temporal urban analysis.</li> <li>Ensures precise object identification and prioritized analysis.</li> </ul>
	Neural Network- based Classification Models	- Classification	<ul> <li>Quick Damage Detection and Vulnerability Forecasting</li> <li>Real-time Disaster Monitoring and Team Direction</li> <li>Post-Event Rehabilitation Planning</li> <li>Hazard Zone and Threat Analysis Monitoring</li> </ul>
Urban Interaction,	Crowd Counting	- Object Localization - Classification	<ul> <li>Supports large-scale crowd monitoring.</li> <li>Informs safety measures with spatial density insights.</li> <li>Elevates understanding of crowds in relation to urban design and behavior.</li> </ul>
Mobility Patterns	Traffic & Transportation Analysis	- Classification - Semantic Segmentation	<ul> <li>Optimizes resource allocation for events and transport scheduling.</li> <li>Supports urban design aligned with movement patterns.</li> <li>Anticipates transport trends for proactive city planning.</li> </ul>

Table 1

Recent studies have demonstrated the capabilities of Al and ML, particularly Deep Neural Networks (DNN), for automated analysis of urban environments using visual data sources like satellite imagery and aerial photography. Techniques like Convolutional Neural Networks (CNNs) have enabled more accurate land

use and land cover classification, feature extraction, object detection, and predictive modeling to support urban planning and management.<sup>7</sup>

A major focus has been applying CNNs and other neural networks for land use and land cover classification from remote sensing data. Studies have shown these deep learning models can effectively categorize urban landscapes into classes such as buildings, roads, vegetation, and water by leveraging spatial context from the imagery. For instance, El-Tantawi et al. used an artificial neural network with Landsat data to monitor and predict land cover changes over 40 years, achieving high accuracy in capturing complex urban change processes. Boulila et al. proposed a distributed CNN approach using Apache Spark to enable faster training on large-scale remote sensing data for land cover classification. Overall, CNNs outperform conventional classifiers, providing valuable land use maps and predictive models to guide planning.<sup>8</sup>

Additional research has explored using CNNs to extract specific urban features like buildings, roads, and centerlines from remote sensing imagery. Attention mechanisms and frequency domain learning can further improve the segmentation and boundary delineation accuracy. Nugroho et al. compared CNN architectures and traditional classifiers for satellite imagery-based building footprint classification. CNNs achieved 85-88% accuracy in automated urban mapping, surpassing conventional methods by utilizing spatial context. In this regard, Alshaikhli et al. developed a deep CNN for simultaneous extraction of roads and centerlines from aerial images, outperforming previous methods. Yu et al. introduced a spiking neural network called SNNFD that operates in the frequency domain to improve building extraction accuracy and boundary delineation compared to other networks. Automated feature extraction facilitates urban research that connects the large scale of the urban environment to the small scale of the buildings.

Beyond classification and feature extraction, researchers have applied CNNs for tasks like identifying collapsed buildings and concrete damage from aerial data. These techniques support rapid disaster damage assessment and structural condition monitoring.<sup>10</sup> Other studies have used CNNs for crowd counting, improving digital elevation models, and saliency detection to aid planning.

While deep learning excels at feature extraction from visual data, combining it with other data sources like LiDAR can further improve urban mapping. Studies have shown fusing publicly available datasets using techniques like multi-channel CNNs enhances accuracy for tasks like generating digital elevation models, especially in data-scarce regions. To instance, Zhang and He introduced a multiscale fusion technique for detecting salient objects in urban remote sensing images, excelling in accuracy and efficiency over

<sup>&</sup>lt;sup>7</sup> Ma, Yang, and Yang, "Application of Deep Convolution Neural Network in Automatic Classification of Land Use"; Sidike, Asari, and Sagan, "Progressively Expanded Neural Network (PEN Net) for Hyperspectral Image Classification."

<sup>&</sup>lt;sup>8</sup> Boulila et al., "RS-DCNN"; El-Tantawi et al., "Monitoring and Predicting Land Use/Cover Changes in the Aksu-Tarim River Basin, Xinjiang-China (1990–2030)."

<sup>&</sup>lt;sup>9</sup> Alshaikhli, Liu, and Maruyama, "Simultaneous Extraction of Road and Centerline from Aerial Images Using a Deep Convolutional Neural Network"; Yu et al., "SNNFD, Spiking Neural Segmentation Network in Frequency Domain Using High Spatial Resolution Images for Building Extraction;" Nugroho, Dimyati, and Laswanto, "Accuracy Evaluation of Convolutional Neural Network Classification Algorithms for Building Identification in Rural and Urban Areas from Very-High-Resolution Satellite Imagery in Jambi, Indonesia."

<sup>&</sup>lt;sup>10</sup> Gibson, Kaushik, and Sowmya, "Robust CNNs for Detecting Collapsed Buildings with Crowd-Sourced Data"; Shin et al., "Automatic Concrete Damage Recognition Using Multi-Level Attention Convolutional Neural Network."

<sup>&</sup>lt;sup>11</sup> Nguyen et al., "Application of Multi-Channel Convolutional Neural Network to Improve DEM Data in Urban Cities."

other methods. This automation can assist urban research in classifying land use, infrastructure mapping, and tracking changes over time.<sup>12</sup>

Researchers have also focused on applying deep learning techniques for image classification tasks relevant to urban environments. Huang et al. fine-tuned a CNN model to categorize diverse urban landscape images into semantic classes like buildings, streets, and greenery.<sup>13</sup> This enabled various spatial analyses and mapping applications for cities. Cheng used a CNN for pixel-level semantic segmentation of street-level scenes, assigning class labels to enable detailed segmentation useful for tasks like infrastructure monitoring.<sup>14</sup> Other studies have shown deep learning enhances land use and vegetation classification in aerial and street-view images. Such imagery can be challenging for traditional ML methods due to qualities like distortion, occlusion, lighting variation and high intra-class variability. By techniques like transfer learning and hyperparameter tuning, CNNs can achieve over 90% accuracy despite having limited training data.<sup>15</sup> Such techniques demonstrate deep learning's capabilities for automated feature extraction and classification in complex urban settings with diverse data sources.

In summary, the integration of deep learning and computer vision has expanded the capabilities for automated urban environment analysis using remote sensing and aerial data. The discussed studies demonstrate Al/ML's potential for providing actionable insights to inform data-driven planning, infrastructure management, and policy decisions for smart, sustainable cities. Advanced techniques continue to enhance mapping and monitoring applications to support urban development and community well-being.

## THEORETICAL FRAMEWORK

The transect approach has a rich history starting from the beginning of the 19th century. This framework for the first time sought to analyze sections of the natural landscape to connect what was visible to what was hidden beneath the land surface and above it. The first example comes from Alexander von Humboldt, a German geographer, who conducted ecosystem mapping starting in 1793. His famous drawing of the Volcano Chimborazo in 1829 describes all the geological layers across its section virtually connecting the sky to the center of the Earth.<sup>17</sup>

Starting from this section, ecologists and botanists developed a transect approach that illustrates connections vertically across the soil but also horizontally across a landscape. It was the beginning of an approach to land that was governed by the wonder of a scientific description of a place. Patrick Geddes further developed this approach focusing on the holistic exploration of a location. His Valley Section introduced the human habitat within the landscape. Philosophically, this change brought the human population impacts on the landscape as one of the predominant shaping forces recognizing the significance of understanding the interconnections between the natural and human environments in

<sup>&</sup>lt;sup>12</sup> Zhang and He, "A Deep Multiscale Fusion Method via Low-Rank Sparse Decomposition for Object Saliency Detection Based on Urban Data in Optical Remote Sensing Images."

<sup>&</sup>lt;sup>13</sup> Huang et al., "A Multispectral and Multiangle 3-D Convolutional Neural Network for the Classification of ZY-3 Satellite Images Over Urban Areas."

<sup>&</sup>lt;sup>14</sup> Cheng, "High Resolution Image Classification of Urban Areas Based on Convolution Neural Network."

<sup>&</sup>lt;sup>15</sup> Ottoni and Novo, "A Deep Learning Approach to Vegetation Images Recognition in Buildings"; lorga and Neagoe, "A Deep CNN Approach with Transfer Learning for Image Recognition."

<sup>&</sup>lt;sup>16</sup> Luo, Chang, and Yang, "Noise and Illumination Invariant Road Detection Based on Vanishing Point"; Carta, *Machine Learning, Artificial Intelligence and Urban Assemblages*.

<sup>&</sup>lt;sup>17</sup> Schaumann, "Who Measures the World?"

assessing the economic, ecological, and cultural values of a place.<sup>18</sup> Since its inception, the transect strategy has gained widespread usage in various fields, like geography and archaeology.<sup>19</sup>

Over time, the ability of transect analysis to capture information in horizontal, vertical, and temporal dimensions has made it an invaluable tool in spatial design, particularly in urban planning and landscape urbanism becoming a planning and urban design application.<sup>20</sup> The origins of the transect approach as a planning application can be traced back to the works of landscape architect lan McHarg and his *Design with Nature* book.<sup>21</sup> McHarg emphasized the ecological and topographical aspects of the landscape, providing evidence-based guidance for land use decisions. His sections focused on the complexity of the ecosystem to inform potential urban developments within land use growth boundaries.<sup>22</sup> During the 1990s, transect planning applications transitioned to become a design methodology, notably within the New Urbanist movement. Transect design focuses on arranging and designing human habitats in a manner that preserves the authentic characteristics of a location, while also maintaining diversity in terms of topography, density, and morphology between urban and rural areas.<sup>23</sup>

As a design methodology or as research or planning tool, this approach has become essential for studying urban environments in diverse regions, spanning from rural to urban areas within a city, as well as for analyzing urban growth and documenting temporal changes through three-dimensional sections.<sup>24</sup>

In an urban and natural environment subject to change due to climate-induced challenges, this perspective can be useful in describing all the forces influencing change, as well as in recording the impacts on the landscape and, consequently, on the people living in those areas. Yet, the framework traditionally lacks the integration of the people's direct experience and knowledge of place, limiting its application as place-based research that usually also includes people's perception.

The tradition of including people's experience in urban design and planning started in the 1970s with theories by scholars like Jane Jacobs, Kevin Lynch, and Wiliam Whyte. These theories gave rise to bottom-up perspectives to examine how traditional or local knowledge can at times complement or challenge top-down expertise. Drawing from Anthropology, local knowledge can be defined as knowledge that is culturally and ecologically situated or rooted in a specific place. Furthermore, local knowledge recognizes the social and economic diversity of urban places.

Despite calls to incorporate local narratives into research and planning, the place of local knowledge alongside "Western science" remains heavily debated. While some researchers contrast local knowledge with science, others embrace traditional and indigenous knowledge as a "Native science," a long-term

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<sup>&</sup>lt;sup>18</sup> Duany and Talen, "Transect Planning"; Han, "The Use of Transects for Resilient Design."

<sup>&</sup>lt;sup>19</sup> Duany and Talen, "Transect Planning"; Braae, Diedrich, and Lee, "The Travelling Transect," Shrestha et al. "Land fragmentation due to rapid urbanization in the Phoenix Metropolitan Area: Analyzing the spatiotemporal patterns and drivers."

<sup>&</sup>lt;sup>20</sup> Duany and Talen, "Transect Planning"; Duany and Falk, *Transect Urbanism*; Han, "The Use of Transects for Resilient Design."

<sup>&</sup>lt;sup>21</sup> McHarg, *Design with Nature*.

<sup>&</sup>lt;sup>22</sup> Duany and Falk, *Transect Urbanism*.

<sup>&</sup>lt;sup>23</sup> Duany and Talen, "Transect Planning."

<sup>&</sup>lt;sup>24</sup> Han, "The Use of Transects for Resilient Design"; Klein and Clausen, "Urban Transects and Trunk Roads"; Grant Ian Thrall, Mark McClanahan, and Susan Elshaw Thrall, "Ninety Years of Urban Growth as Described with GIS."

<sup>&</sup>lt;sup>25</sup> Corburn, "Bringing Local Knowledge into Environmental Decision Making."

<sup>&</sup>lt;sup>26</sup> Antweiler, "Local Knowledge Theory and Methods;" Geertz, *Local Knowledge*.

<sup>&</sup>lt;sup>27</sup> Antweiler, "Local Knowledge Theory and Methods."

collective heritage composed of diverse perspectives across generations.<sup>28</sup> Indeed, traditional, local, and indigenous knowledge do not stand in opposition to scientific and analytical approaches but rather represent distinct ways of knowing or ontologies that are complementary.<sup>29</sup> The temporality of local knowledge, whether incorporating deep time perspectives passed down through oral history or more recent firsthand experience, can complement scientific narratives and models relating to environmental risks.<sup>30</sup>

As local communities are positioned to benefit or suffer from planning choices to address environmental hazards and risks, participatory methods should be adopted to ensure that decisions are made according to the best interests of those who will be most impacted. This need is even more relevant when urban studies must embrace methodologies that are able to manage a lot of data to understand complex problems but in the process are risking overlooking important subjective information. This study has tried to embrace both subjective and objective approaches to holistically conduct a transect analysis. The theoretical challenge of integrating local knowledge into scientific research assumes a new meaning within this study. Not only have the authors embedded local knowledge of place into transect analysis but approached this analysis through the lens of ML. In doing so, reversing a skeptical attitude of the design field into a promising use of ML to understand world complexity.<sup>31</sup>

## **METHODOLOGY**

The method used is a mixed method that aims to integrate local knowledge to transect urban analysis. The method compared two sets of three urban landscape transects each across the watershed boundaries of the McCoy (number 1 and 2) and Hogan (number 3) creeks in downtown Jacksonville. The first set consisted of analogical transects (AT), while the second set comprised machine learning-based transects (MLT). Local knowledge served to complement and interpret both sets. The use of Machine Learning became the link between the human scale and the physical scale. In this light, Machine Learning is seen not as an antithesis of human experience but as a tool for the benefits and use of people living in the environments at the center of the research studies.

#### Analogic transects (AT)

The first transect analysis method utilized participatory design and data analysis. The authors, in collaboration with local organizations, students, and residents of the area, conducted participatory walks and focus groups along the three transects to explore how urban wellbeing indicators changed in terms of extent, timing, and manner from the vicinity of the creek to its periphery. This exploration integrated local knowledge into the transects' mapping process. The mapping itself was divided into five parts, consisting of a central area encompassing the creeks and their banks, along with two sections on each side (Fig. 1). The authors used a combination of geographic information systems (GIS) mapping and data analysis mainly from Census data recording the interconnections between aspects such as property ownership, building age and types, outdoor temperatures, urban morphology, socio-demographic data, land uses, and local knowledge.

<sup>&</sup>lt;sup>28</sup> Cajete, Native Science; Walter and Andersen, Indigenous Statistics.

<sup>&</sup>lt;sup>29</sup> Bruchac, "Indigenous Knowledge and Traditional Knowledge."

<sup>30</sup> Boholm, "The Cultural Nature of Risk."

<sup>&</sup>lt;sup>31</sup> Bernstein, Machine Learning., Leach, Architecture in the Age of Artificial Intelligence.

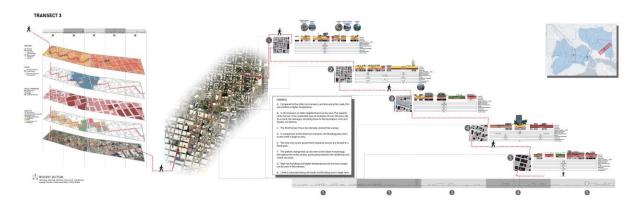


Figure 1

To complement the analogic transect analysis, the authors documented the transects with aerial low altitude drone imagery using a DJI Mavic 2 Pro. (Fig. 2).

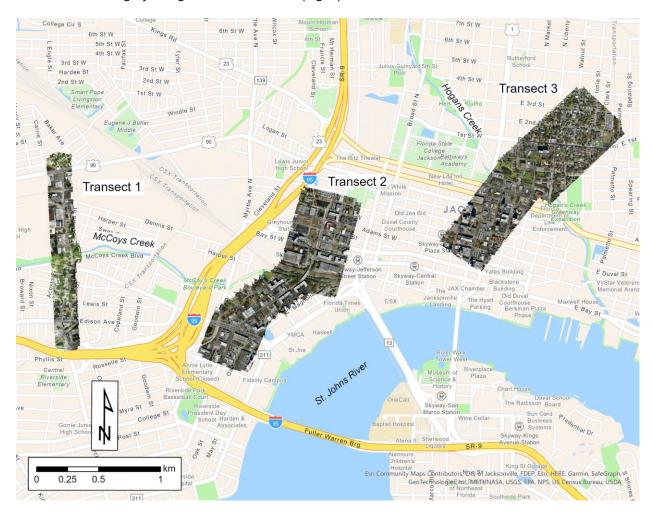


Figure 2

The three transects were photographed using automated single grid flights in Pix4Dcapture for iOS, flown between 50 and 100 m above ground level, depending on the height of surrounding architecture and

vegetation. To generate orthoimagery the drone camera was aimed at nadir, and photos were taken with 75% front overlap and 70% side overlap.

The transects were mapped from west to east, beginning along McCoy Creek (524 photos) as a pilot project in May 2022. The width of this first and westernmost survey along McCoy Creek was approximately 2 city blocks, or 200 m. This width was later expanded to approximately 500 m to document the greater context and social fabric of the neighborhoods in later surveys of the second transect along McCoy Creek and the third or easternmost transect along Hogan Creek (600 photos and 604 photos, respectively) both mapped in February 2023.

Drone imagery was then aligned and orthorectified using photogrammetry (Structure from Motion) in Agisoft Metashape Professional version 1.7.4 build 12028. After the initial image alignment, the resulting point cloud was additionally georeferenced to the 2018 Florida Peninsular FDEM lidar point cloud using control points. Each of the three transects' orthoimagery was exported to a 2.5 cm horizontal resolution and finally clipped to a 30 m grid in ArcGISPro 2.8.3 using an iterator in Modelbuilder to generate training data for the ML model. The size of 30 m was chosen according to a literature review of urban studies employing imagery classification to encompass objects at the scale of residential architecture.<sup>32</sup>

## Machine Learning Transects (MLT)

The machine learning transects (MLT) operated by running an algorithm to automatically analyze drone images to detect spatial zones. The authors ran the algorithm twice, the first time without classifying the images and the second time categorizing the images according to the indicators per previous published study as mentioned in the introduction of this paper.<sup>33</sup> The drone images retained their geographic coordinate metadata, allowing them to be mapped spatially after the analysis. This mapping was based on recognizing emergent clusters of images and therefore of spatial patterns, which inherently characterize the space. Notably, both approaches (unclassified and classified) produced clusters that were visually consistent and with a consistent spatial arrangement.

The MLT feature extractor utilized for spatial feature identification is (explained in section Data processing), and the MLT unsupervised clustering algorithm to identify the similarities between clusters is the Self Organizing Map (SOM). SOM generates a grid wherein drone images are grouped based on similarity within their feature vectors, effectively clustering a-like regions. This unsupervised classification facilitates the grouping of similar locations, revealing patterns of similarity and distinction across sectors. By employing this clustering grid, we validate the methodology by generating categorical labels that encapsulate attributes like water bodies, impervious surfaces, trees, permeable surfaces, housing density, and significant roads. As explained above, retaining the geographic coordinate metadata enabled remapping these new clusters into space, culminating in a comprehensive representation of the study area's spatial patterns.

## Data processing

To extract numerical features from the collected drone images, an automatic feature extraction process was implemented via an unsupervised AI algorithm . This process translates each image into an n-dimensional numerical vector. This process is unsupervised since the drone images to be learned from are

<sup>&</sup>lt;sup>32</sup> Chen et al., "Ha-Mppnet: Height Aware-Multi Path Parallel Network for High Spatial Resolution Remote Sensing Image Semantic Seg-Mentation"; Zhong et al., "Open-Source Data-Driven Urban Land-Use Mapping Integrating Point-Line-Polygon Semantic Objects: A Case Study of Chinese Cities."

<sup>&</sup>lt;sup>33</sup> This process is called labelling.

provided as a set of unlabeled examples. To perform feature extraction, the authors first built an AI feature extractor (FE) model, which was made by cutting the last layers of a trained VGG-16 network (stack of convolutional layers, followed by fully connected layers) and using the convolutional layers (feature extraction part). Unlike the training data used with the VGG-16 network, satellite images are more semantically diverse than natural images and lack a central concept. Therefore, the feature extraction part of the VGG-16 network is an excellent example of transfer learning. The authors trained it with a large set of images (ILSVRC2012, 2012) (about 10 million images and 10,000 classes) used for the ILSVRC-2012 challenge. However, the model can still learn from satellite imagery that was not included in its initial training data.

To start the encoding, the research sampled 466 images (size 1200x1200 pixels), corresponding to about 40% of the dataset, resized to 224×224 pixels in 3 RGB bands to train the Feature Extraction (FE) model. The FE can transform the images into 4,096-dimensional feature vectors, which encode high-level concepts, such as green areas, suburban fabric, industrial spaces, and the like. These concepts are more complex and less specific than the usual physical terrain features embedded in a satellite image, such as trees, buildings, or roads. After the FE was trained, the authors extract the features from the whole dataset, resulting in a list of 1165 numerical vectors of 4096 dimensions representing the three transects.<sup>36</sup>

After extracting the feature vectors from the drone images, the authors applied the nonlinear dimensionality reduction algorithm t-Distributed Stochastic Neighbour Embedding (t-SNE). The t-SNE algorithm can capture much of the local structure of high-dimensional data while revealing the global structure.<sup>37</sup> Within t-SNE, a Perplexity of 100 was recommended to reduce each n-dimensional vector list to a 2 dimensional vector.<sup>38</sup>

## Data representation / Training data creation

To properly explore the relationships of the drone images, the authors used an unsupervised machine learning clustering algorithm called Self Organizing Map (SOM).<sup>39</sup> In this case study , we select a 6X6 2D gridded SOM. The input layer of the SOM consists of 2-dimensional vectors obtained in the previous steps. The training procedure involved one million epochs until the response layer evolved to a stable configuration. The output layer of the SOM is visualized as a similarity landscape, where each image is positioned according to the similarity of its weight value concerning its neighbors. The SOM algorithm can recognize similar patterns among the data and organize the corresponding events accordingly. Each data point is classified after iterations in a particular node in the SOM. Is common to visualize the SOM with a Best Matching Unit (BMU), which is the most representative example of that cluster , In Fig. 3 left, it can be seen that the images (in this case, drone images assigned for each node) represent the most representative case that has the closest Euclidean distance to their corresponding BMU value.

<sup>&</sup>lt;sup>34</sup> Simonyan and Zisserman, "Very Deep Convolutional Networks for Large-Scale Image Recognition."

<sup>&</sup>lt;sup>35</sup> Transfer learning is a technique in ML that involves employing a pre-trained model for a new problem. In this approach, the algorithm leverages knowledge acquired from a prior task to enhance its ability to generalize to a different context.

<sup>&</sup>lt;sup>36</sup> Alvarez-Marin and Ochoa, "Indexical Cities."

<sup>&</sup>lt;sup>37</sup> van der Maaten and Hinton, "Visualizing High-Dimensional Data Using t-SNE."

<sup>38</sup> Ibid.

<sup>&</sup>lt;sup>39</sup> Kohonen, "The Self-Organizing Map."



1	2	3	4	5	
90	133	189	199	209	220
7	8		10	11	
122	146	227	296	256	192
13	14		16		
142	172	231	202	195	176
19	20	21	22	23	24
19 177	20 226	21 198	22 176	23 149	<sup>24</sup> 118
177	226	198	176	149	118
177 25	226	198	176	149	118





Figure 3

## Machine Learning inference vs. Human inference

The authors preserved the geo-coordinate of each drone image, which offers a valuable opportunity for spatial analysis, enabling the mapping of these images back into the physical space and facilitating the identification of emergent clusters. Unlike conventional classification approaches, these clusters offer an intrinsic description of space without imposing predefined assumptions. The visual representation of the clusters, as exemplified in <u>Fig. 4</u>, reveals their consistency, effectively grouping spaces exhibiting similar attributes.

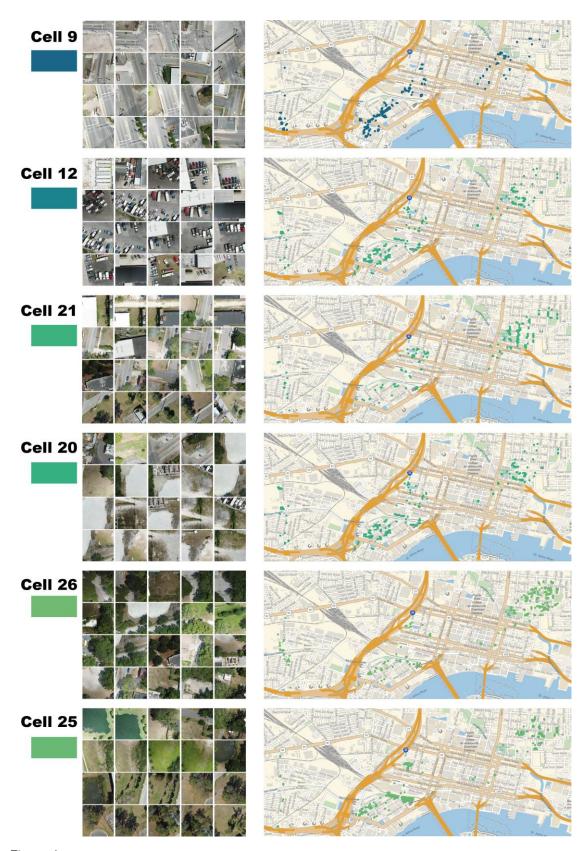


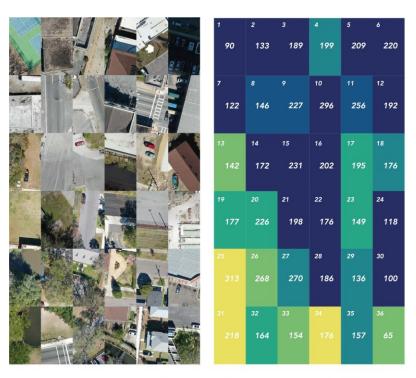
Figure 4

The grid-like representation of the SOM reduces the complexity of the analyzed space and finds a natural connection with the transect analysis method, contributing to a comprehensive understanding of spatial organization. Within this grid, a color gradient assigned a unique hue to each space, revealing the level of similarity shared among neighboring regions (Fig. 5 right). To ascertain the efficacy of our methodology, the authors gave categorical labels to the first grid obtained, emphasizing the classification according to the presence of water bodies, impervious surfaces, trees, pervious surfaces, housing density, and major roads.

The culmination of this approach involves the seamless remapping of labeled images back to their corresponding geo-coordinates, aligning the imagery with its precise geographic context. This integration fortifies the spatial analysis with a definitive link to the physical environment, yielding a comprehensive portrayal of the area under scrutiny (<u>Fig. 5 bottom</u>). By presenting clear and well-defined spatial patterns, this methodology proves instrumental in informing critical decision-making processes, including land use planning, environmental monitoring, and urban development studies.

#### Integrated Transects

After producing AT and MLT, the authors embedded both sets of analysis into one single visualization. The final result (Fig. 6) directly compared the patterns identified through the AT approach to the MLT approach.





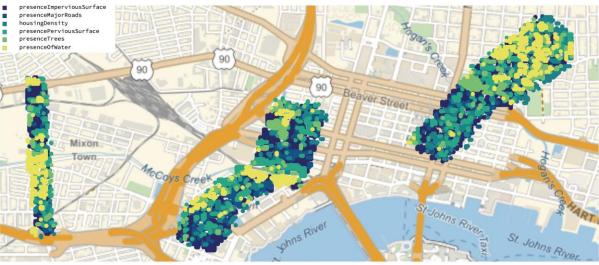


Figure 5

Patterns were identified according to the indicators of wellbeing as selected in the previous published study and reclassified per MLT labels: flood risk, imperviousness/roads, tree canopy/green areas, and building footprints. The labels were represented in individual layers and organized in a column - one column per AT and one per MLT. This organization allowed for the understanding of possible correlations across labels/indicators within each approach and across the two different methods. On the side, sections

of the transects added socio-demographic data and local knowledge to the spatial analysis. The sections enhanced the crossing understanding of all data gathered with AT, MLT, and local knowledge (Fig. 7).

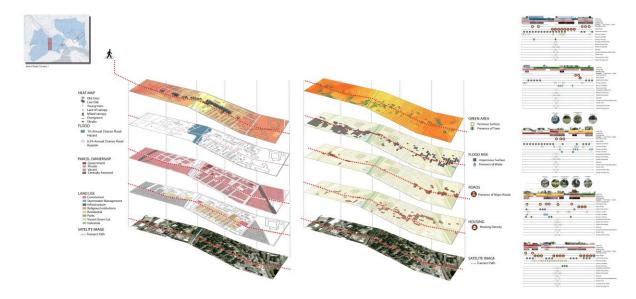


Figure 6

#### FINDINGS AND DISCUSSION

This experiment produced two distinct findings: the first relates to the urban theoretical implications tied to the identified urban patterns, and the second concerns the methodological considerations arising from the experiment itself.

#### Identified Patterns

The authors analyzed first the AT patterns, then the MLT patterns, and then compared the results. The AT analyses revealed a correlation between flooding modeling, elevated ambient temperatures, and lack of tree canopy. Of particular interest was the fact that this relationship was most noticeable where urbanization was significant along creek banks. A comparative observation of transects 1-2 and transect 3 supports this argument. Transect 3 located in the Downtown and Springfield neighborhoods shows this evident relation caused by the construction of recent high-rise buildings (high density development) close to the creeks. While AT identified this relation between recent constructions, temperature, and flooding, MLT aimed to identify elements of the built environments. The algorithm identified green areas, including creeks, grassy areas alongside train tracks, and residential neighborhoods with their yards. Impervious surfaces were predominantly captured around industrial and commercial zones, distinguished by extensive parking lots and expansive roof footprints. Furthermore, the housing density indicator was predominantly found within residential areas.

Considering the limited dimension of the training datasets and knowing the need to expand the number of transects in the future, we can still observe that the classification of indicators related to wellbeing aligned between AT and MLT methodologies except for water bodies that were not always identified correctly. Additionally, the sections analysis let the authors observe the presence of lower sociodemographic characteristics in greener residential areas.

## On Theory: Not everything that is green is good

Both approaches aligned in identifying patterns of green spaces, impervious surfaces, and housing density. However, only the use of local knowledge was able to detect the possible reason behind the correlation between some green residential areas and lower socio-economic statuses. Through residents' narratives the authors were able to identify an ongoing process of gentrification - at the early stage along transects 1 (Mixon Town) and 2 (Brooklyn), more advanced along transect 3 (Springfield and Downtown). Individuals participating in the walking activities and in the focus groups reported that residents were "[...] bombarded [added emphasis] by developers offering to buy houses with cash." In neighborhoods like Mixon Town and Brooklyn historically characterized by segregated African American communities, such a predatory real estate strategy is associated with a push of low-income residents out of their neighborhood.<sup>40</sup> This outcome is already visible in transect 3, where an urban redevelopment process led to a demographic shift toward higher income residents. Therefore, the three transects are a picture of gentrification in progress. Most importantly, this process is occurring where MLT identifies the indicators of green areas and residential density, reversing the understanding that presence of trees and yards automatically reflect residents' wellbeing. In this specific case, the green areas and residential indicators highlighted potential areas of dispossession, housing ownership shifts, and de-greening providing important information to identify areas of concern.

The use of local knowledge along with MLT techniques on a transect analysis opens possible paths for MLT city analysis. On one hand, local knowledge challenges the notion of MLT generalization of findings, on the other it produces an intriguing enhancement of MLT techniques through augmented participatory approach. After fifty years from Sherry Arnstein's Ladder of Participation (1969) more inclusive decision-making processes might have found a new tool. While this experiment used local knowledge gathered through in-person focus groups providing insight of the place, MLT can also expand the use of local knowledge through Natural Language Processing to analyze bigger amounts of data. The challenge would be how to collect narratives at large scales.

## On Method: Not everything that is water is blue

As mentioned above, the MLT transects did not identify water bodies accurately. The authors ran the algorithm twice with similar results. The MLT methodology needed help distinguishing between tree canopies and water bodies due to a prevalent local landscape characteristic where dense tree canopies often accompany water bodies, especially the narrow McCoy and Hogan Creeks. Larger water bodies, such as retention or landscape ponds, also tend to be located in parks or open spaces surrounded by either tree canopy or grass, which in turn reflects off the water surfaces. A compounding issue is also that standing or slow-moving water becomes turbid, encouraging the growth of green algae and other aquatic plants.

To address this challenge in future research, a prospective solution involves incorporating additional layers of information that describe other landscape features not discernible through drone imagery alone. Topographic data (which can be generated as a digital surface model alongside orthoimagery) and heat

<sup>&</sup>lt;sup>40</sup> Hightower and Fraser, "The Raced-Space of Gentrification."

<sup>&</sup>lt;sup>41</sup> The Ladder for Participation is one of the most relevant works about participatory design to achieve an inclusive decision-making process. According to Arnstein, the more participated the process is the more empowered communities can become. However, some critiques the lack of balance between participation and organizational strategies. With the current urban scenarios challenged by wicked problems, participation must be embedded with methods that are capable to read multiple layers of complexity and not only the social issues. Arnstein, "A Ladder of Citizen Participation."

maps are extra information, both geo-locatable, and can be correlated with existing geolocated imagery. Extracting feature vectors for these new data types should also be studied. A plausible approach involves transforming the distinct data modalities into images and employing a transfer learning strategy utilizing the pre-trained model established in this study. Such an approach would enable the model to extract pertinent features from the newly introduced data source. By integrating these diverse data streams, the method's accuracy in distinguishing tree canopies from water bodies could be considerably improved, paving the way for enhanced spatial analysis in complex landscapes.

## CONCLUSION

This paper described an experiment intended to test how MLT can become a tool of transect analysis for urban research especially if related to site examination. To do so, the authors compare MLT results of three transects cutting across the McCoy and Hogan creeks in Jacksonville to analogical and local knowledge results. The outcome of the experiment highlighted some theoretical implications – to interpret the pattern of an indicator there is a need for local knowledge – and methodological challenges – MLT must rely on different sources of imagery to differentiate amongst organic materials in the urban environment.

Perhaps, the most surprising outcome of this experiment was discovering how MLT challenged the authors' perspective of transect analysis as a method. The analogical transect mirrored the conventional transect analysis that historically adhered to linear representation such as from the coast to inland, from the sea to the mountain and -as in this case study - from the creek to the urban watershed edge. This linear progression representation implies a sequential understanding of the urban environment based on the relationships between location-dependent cause-effects. However, the MLT's Self Organizing Map (SOM) (Fig.8) marks a paradigm shift by representing the urban landscape through image clustering.

## [Figure 8 here]

In this map, a collage of 36 pictures, the urban landscape is rearranged according to the imaginary classification. Hence, each of the 36 pictures represent a cluster of similar urban areas distributed across the transects. The result is a transect that captures the complexity of the urban environment and the diverse layouts distributed along the transects rather than being identified along a linear progression. This shift from traditional representations emphasizes the intricate interactions that form and originate from an urban environment. Therefore, SOM attempts to instill order in the complexity. Nevertheless, and especially because of the abandonment of simplified linear understanding, the analytical potential of MLT relies also on local knowledge as described above. As a source of local information, local knowledge would add another layer to the complexity of the urban environment.

The effort to merge human information to Artificial Intelligence within the design discipline is not new. Notably, experimentations by pioneers like Cedric Price, Yona Friedman, and Nicholas Negroponte are all examples of using AI for an open-source architecture. The Generator Machine by Price aimed to design an entertainment center in Florida using the users' combinations of choices. The Flatwriter by Friedman had the intention to empower city inhabitants to design their dwellings in accordance with the other citizens' choices. <sup>42</sup> Both projects created a series of architectural codes that users could combine in different solutions. In this light, they developed an approach that tied objectivity with subjectivity. While SOM is a tool for analysis rather than design, it still inspires important questions for the design disciplines. Afterall, the transect approach is also a design method adopted for instance by the New Urbanism

<sup>&</sup>lt;sup>42</sup> Chaillou, *Artificial Intelligence and Architecture*. Friedman et al., *Yona Friedman. The Dilution of Architecture*.

Movement.<sup>43</sup> By adopting a multilayered complexity augmented by local knowledge the MLT experiment potentially brings forward the open-source artificial intelligent architecture envisioned in the 70s. No longer

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<sup>&</sup>lt;sup>43</sup> Onaran, *Urbanism for a Difficult Future*.

about combining solutions by computers after users' preferences but reading the landscape by a computer aided by citizens' experiences. In this way, leading urban design toward complex solutions.

Reinterpreting Price's The *City as an Egg*, the MLT approach might foresee a fourth model of city development in which the egg and its form is no longer the only result. What is essential is seeing all the ingredients used to cook and prepare the egg (<u>Fig. 10</u>).

[Figure 9 here]

## **Limitations and Future Research**

This study is based on a small sample of three transects and limited access to local knowledge. However, this explorative study showed promising outcomes that the authors intend to pursue with further research. Specifically, future studies will work on training the dataset with more images and variables, learning how to enhance the use of local knowledge with MLT methodologies, and establishing generalizable findings. The authors have the intention to develop these preliminary results into a comparative study across three different geographical locations to be able to verify the weight of place-based factors influencing MLT methodologies and consequently the transect method.

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